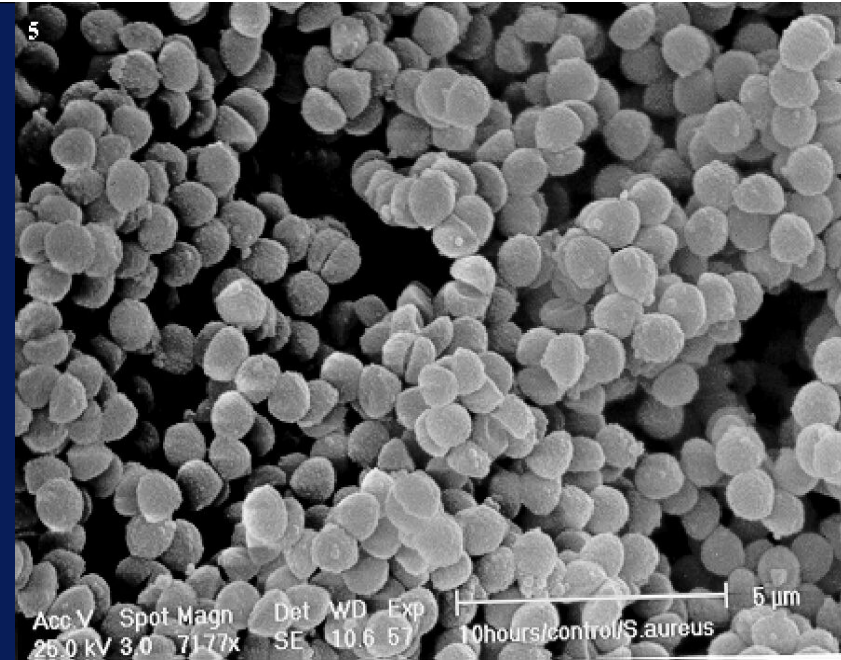


# Interactions between biocides & bacteria

*Surface disinfection and  
antimicrobial surfaces*



CARDIFF  
UNIVERSITY

PRIFYSGOL  
CAERDYDD

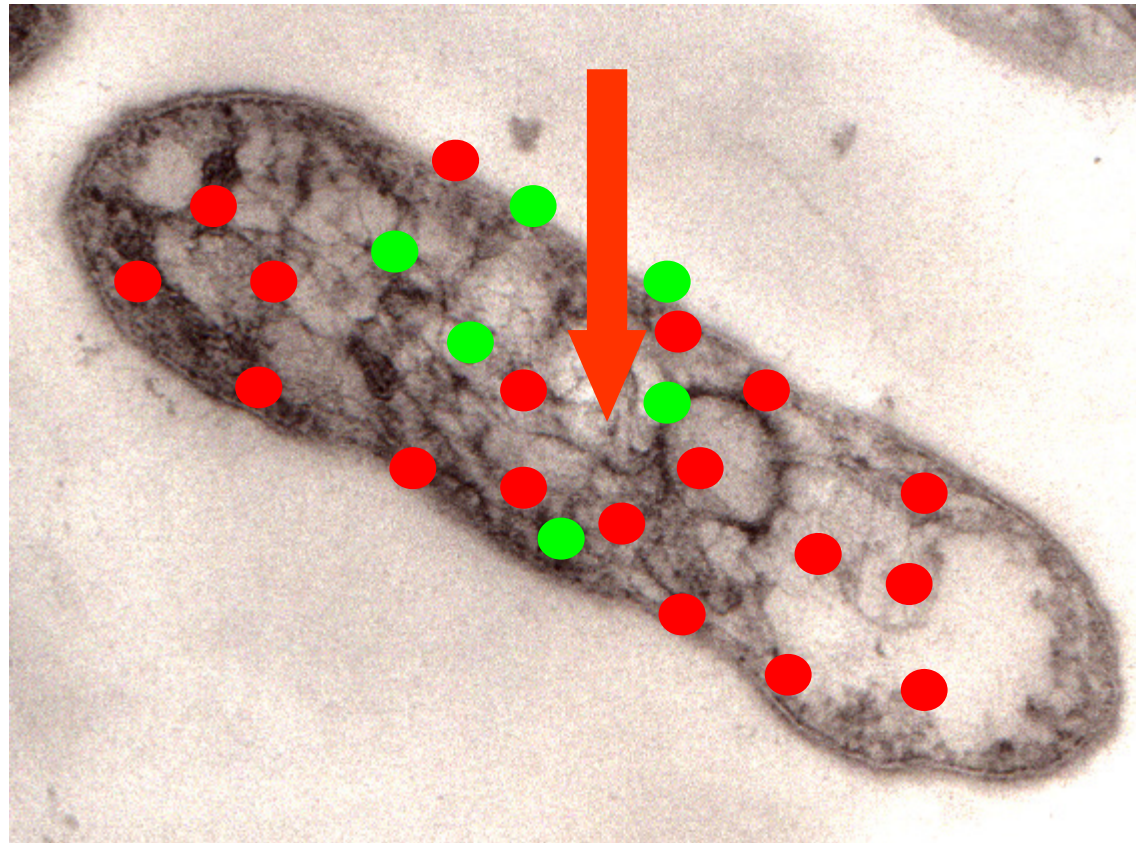
**Dr Jean-Yves Maillard**  
Welsh School of Pharmacy  
Cardiff University  
Wales

- PRINCIPLE FOR ACTIVITY
- LIMITATIONS IN ANTIMICROBIAL ACTIVITY
- UNDERSTANDING MICROBE-ANTIMICROBIAL INTERACTIONS

# BIOCIDES – principles

## GENERAL CONSIDERATIONS

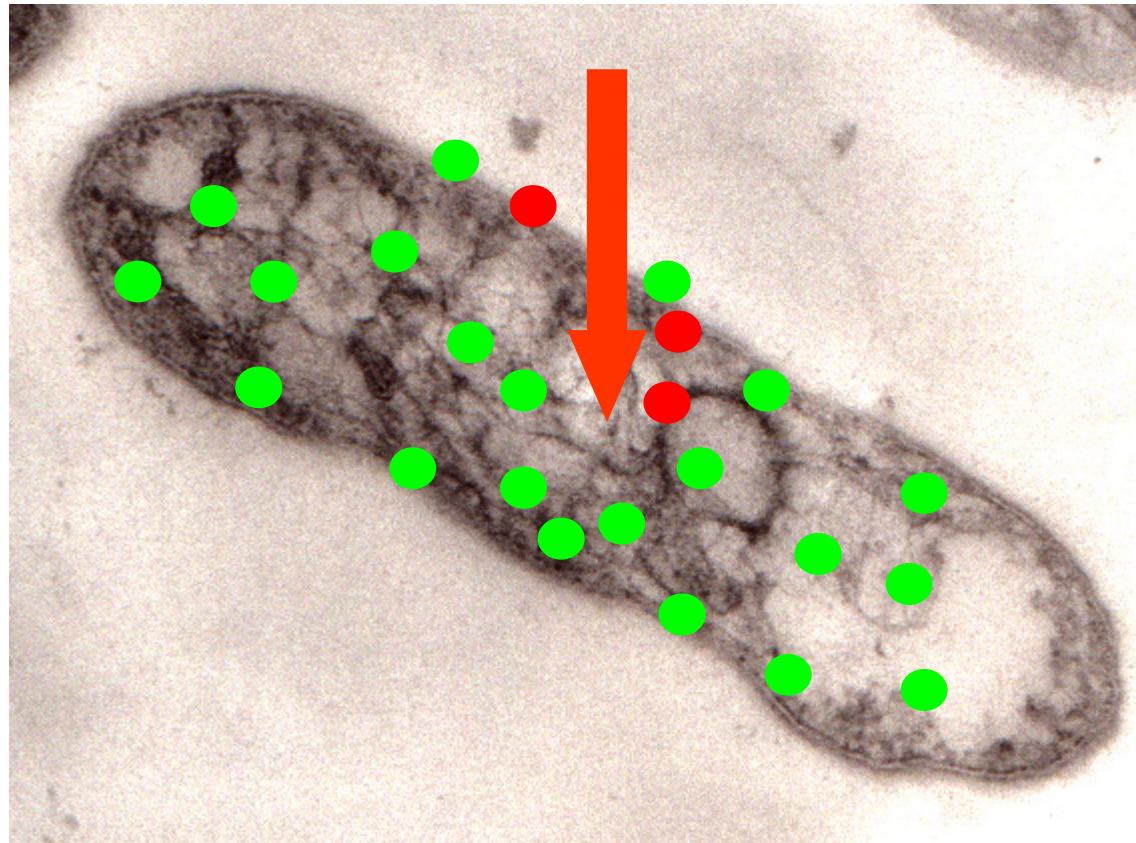
- Contact
- Penetration
- Accumulation



# BIOCIDES – principles

## GENERAL CONSIDERATIONS

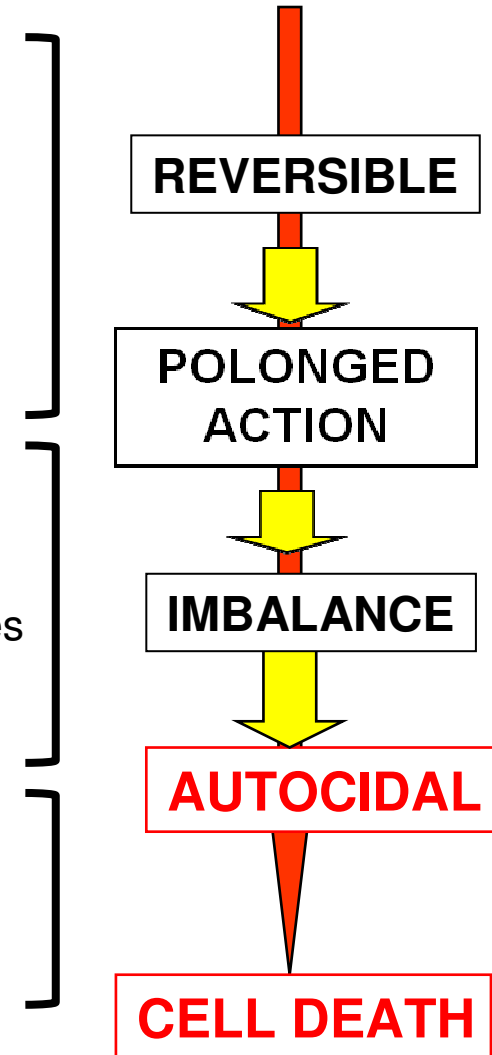
- Primary targets
- Secondary targets



# MECHANISMS OF ACTION – principles

## EVENTS LEADING TO CELL DEATH - Degree of damage and autocidal activity

- Disruption of the transmembrane PMF leading to an uncoupling of oxidative phosphorylation and inhibition of active transport across the membrane
  - Inhibition of respiration or catabolic/anabolic reactions
  - Disruption of metabolic processes
  - Disruption of replication
- 
- Loss of membrane integrity resulting in leakage of essential intracellular constituents ( $K^+$ , inorganic phosphate, pentoses, nucleotides and nucleosides proteins)
- 
- coagulation of intracellular materials
  - lysis



# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy

### **Factors inherent to the product**

- concentration
- formulation
- water activity
- pH\*

### **Factors inherent to the application**

- surface
- organic load (soiling)
- temperature
- contact time
- humidity

### **Factors inherent to the micro-organism**

- type
- number
- phenotype
- pH\*

## FACTORS affecting antimicrobial efficacy

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### Factors inherent to the micro-organism

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- number
- phenotype
- pH\*

concentration exponent

Biocides		Concentration Exponent
<b>Phenolics</b>		4-9.9
<b>Alcohols</b>	Benzyl alcohol	2.6-4.6
	Aliphatic alcohols	6.0-12.7
<b>Cationics</b>	Chlorhexidine	2
	Polymeric biguanides	1.5-1.6
	QACs	0.8-2.5
	Dyes (Crystal violet)	0.9
<b>Aldehydes</b>	Formaldehyde	1
	Glutaraldehyde	1
<b>Peroxygens</b>	Hydrogen peroxide	0.5
<b>Metallic salts</b>	Silver nitrate	0.9-1.0
	Mercurials	0.03-3.0
<b>Organic acids</b>	Parabens	2.5
	Sorbic acid	2.6-3.2

# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy

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### Factors inherent to the micro-organism

- type
- number
- phenotype
- pH\*

- concentration exponent
- bioavailability of biocide
- synergism/antagonism
- delivery
- stability of biocide

# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy

### Factors inherent to the product

- concentration
- formulation
- water activity
- pH\*

### Factors inherent to the application

- surface
- organic load (soiling)
- temperature
- contact time
- humidity

### Factors inherent to the micro-organism

- type
- number
- phenotype
- pH\*

Biocides		Soiling
<b>Phenolics</b>		+++
<b>Alcohols</b>	Benzyl alcohol Aliphatic alcohols	+
<b>Cationics</b>	Chlorhexidine Polymeric biguanides QACs Dyes (Crystal violet)	+++
<b>Aldehydes</b>	Formaldehyde Glutaraldehyde	+
<b>Peroxygens</b>	Hydrogen peroxide	-
<b>Metallic salts</b>	Silver nitrate Mercurials	+++
<b>Organic acids</b>	Parabens Sorbic acid	++

# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy

### Factors inherent to the product

- concentration
- formulation
- water activity
- pH\*

### Factors inherent to the application

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- organic load (soiling)
- temperature
- contact time
- humidity

### Factors inherent to the micro-organism

- type
- number
- phenotype
- pH\*

Type of surfaces

temperature exponent

# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy

### Factors inherent to the product

- concentration
- formulation
- water activity
- pH\*

### Factors inherent to the application

- surface
- organic load (soiling)
- temperature
- contact time
- humidity

### Factors inherent to the micro-organism

- type
- number
- phenotype
- pH\*

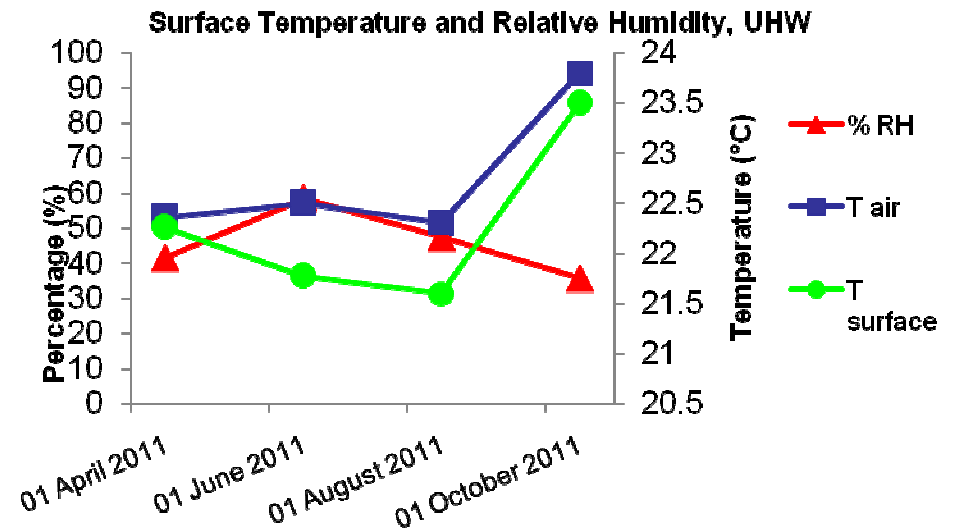
JIS-Z-2801

Temperature: 37°C

Humidity: 100% humidity (covered with film)

Contact time: 24 hours

Inoculum: drop of bacterial inoculum



# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy

### Factors inherent to the product

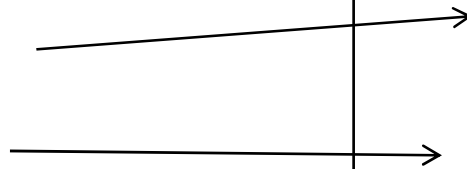
- concentration
- formulation
- water activity
- pH\*

### Factors inherent to the application

- surface
- organic load (soiling)
- temperature
- contact time
- humidity

### Factors inherent to the micro-organism

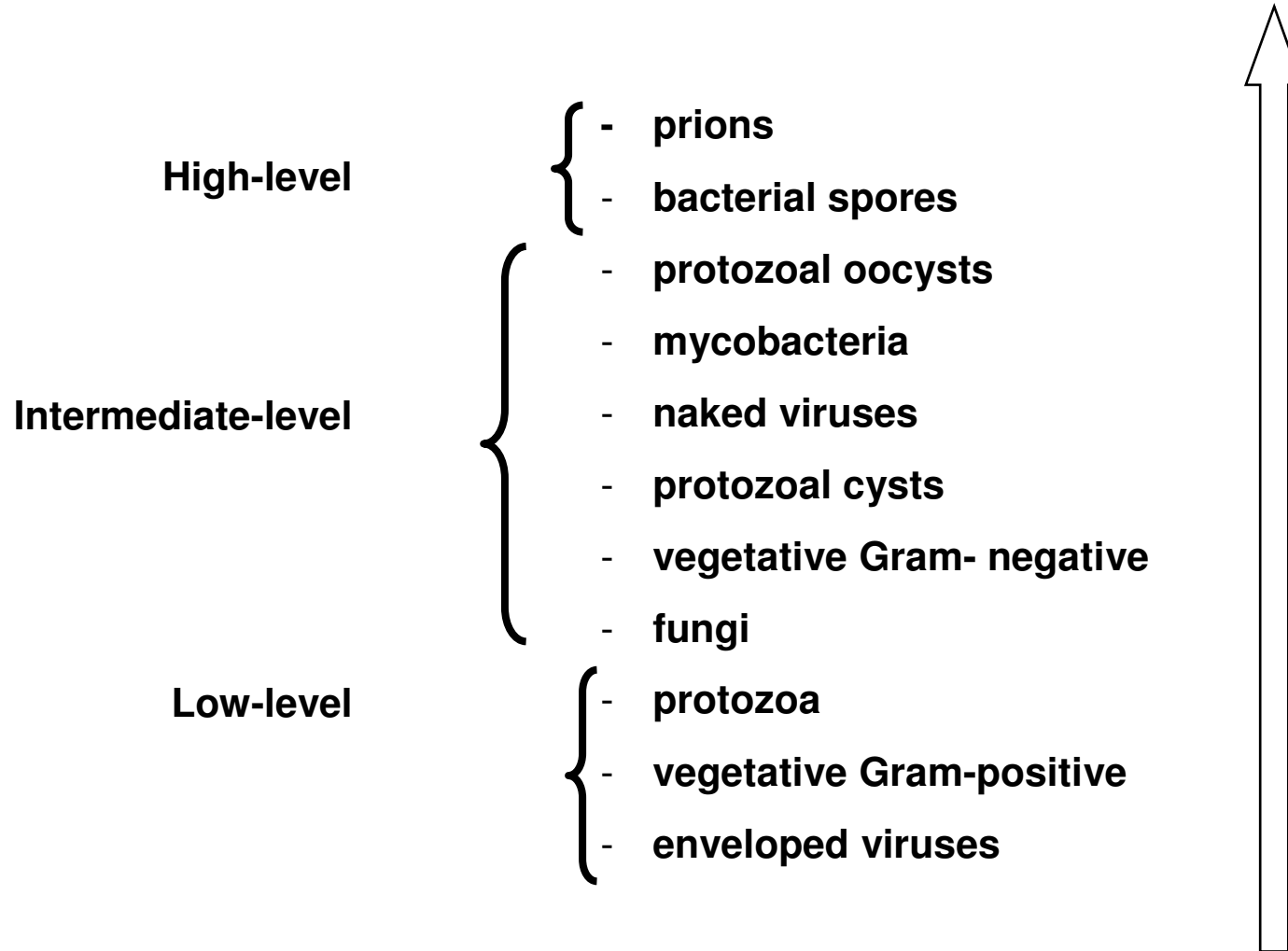
- type
- number
- phenotype
- pH\*



- insusceptible/resistant
- dormant – super-dormant (spores)
- biofilm
- growth of microorganisms

# LIMITATIONS IN ACTIVITY

## FACTORS affecting antimicrobial efficacy



# LIMITATIONS IN ACTIVITY - BIOFILMS

Biofilm resistance mechanisms	Observation
Establishing a reduced local biocide concentration	<ul style="list-style-type: none"> <li>Diffusion gradient</li> <li>Non-specific neutralising interaction with cell constituents</li> <li>Lysed bacterial community offering mechanistic inactivation as a result of increased organic load</li> </ul>
Enhanced bacterial insusceptibility	<ul style="list-style-type: none"> <li>Degradation of antimicrobial</li> <li>Efflux (more effective against lower concentrations)</li> <li>Early stress-response</li> </ul>
Slow growth/metabolism	A local chemical gradient (reduced nutrients / O <sub>2</sub> ) can retard growth rate, mitigating against biocide injury
Selection for increased resistance	<ul style="list-style-type: none"> <li>Formation of pockets of surviving bacteria</li> <li>Dormant cells (which re-grow rapidly in the presence of exudates released from lysed community)</li> </ul>
Acquisition of new resistance determinants	Increased genetic exchange
Intrinsic resistance	Nature of micro-organisms (i.e. some being more resistant than others)

# MECHANISMS OF ACTION – principles

## COMPLEX

- type of biocide
- micro-organisms
- concentration

## GENERALISATION IS POSSIBLE (to some extent)

- membrane active agents
- oxidising agents
- alkylating agents

Differences in the fine mechanisms of action

# MECHANISMS OF ACTION – penetration

INITIAL INTERACTIONS (initial binding and uptake)

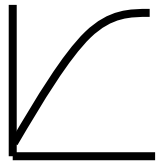
## Penetration

- |  |   |  |
|--|---|--|
| <ul style="list-style-type: none"> <li>• Interactions with outer cell wall structures</li> <li>• Interactions at the cytoplasmic membrane level</li> <li>• Interactions with cytoplasmic constituents</li> </ul> | } | <p>BACTERIA<br/>FUNGI<br/>PROTOZOA</p> |
| <ul style="list-style-type: none"> <li>• Interactions with spore structures</li> </ul>   | } | <p>SPORES</p>                          |
| <ul style="list-style-type: none"> <li>• Interactions with envelope</li> <li>• Interactions with capsid</li> <li>• Interactions with nucleic acid</li> </ul>   | } | <p>VIRUSES</p>                         |

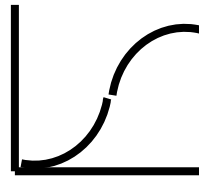
# MECHANISMS OF ACTION – penetration

## Uptake isotherms

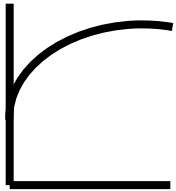
- five main forms of uptake isotherm are recognised
- provide insight to the early stages of biocide-cell interaction



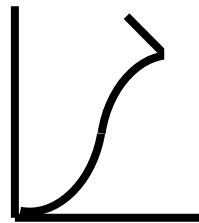
L-shape: normal or “Langmuir” uptake limited by binding sites available; e.g. basic dyes, cetrимide



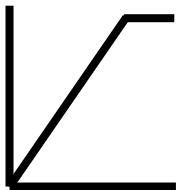
S-shape: initial adsorption is slight; moderate solute-solute interaction facilitates uptake of further molecules (co-operative sorption); e.g. phenols and sodium dodecylsulphate



H-shape: high affinity uptake (adsorbed as ionic miscelles); e.g. chlorhexidine and iodophore



Z-shape: pattern shows a sharp break followed by enhanced uptake; possibly caused by concentration of adsorbed species promoting breakdown in cell structure generating new adsorption sites; e.g. 2-phenoxyethanol and triclosan



C-shape: constant partition; plateaux reached when site is fully saturated; e.g. some phenols.

# MECHANISMS OF ACTION – outer cell wall

## Outer cell membrane (Gram-negative bacteria)

- Increased permeability

ethylene diamine tetraacetic acid (EDTA)  
enhances biocidal activity of biocides (removal of LPS)

polycations, lactoferrin and transferrin

- Cross-linking agents

## Cell wall

- Lysis of bacterial cells  
low concentrations of phenol, formalin and mercuric chloride  
anionic detergents (Sodium Lauryl Sulphate)  
chlorine releasing agents (hypochlorite)
- Formation of long forms of bacteria  
phenol and *m*-cresol  
*methyl green, methylene blue, fuchsine, acridines*
- Protection from lysis  
alkylating agents
- Disruption of cell wall mycoside C and/or peptidoglycan structure (Mycobacteria)  
ethambutol and *m*-fluoro-DL-phenylalanine, D-cycloserine

## Physical disruption of the membrane

- leakage of intracellular materials
  - (i) potassium
  - (ii) inorganic phosphates
  - (iii) pool of amino acids and materials absorbing at 260nm
  - (iv) nucleic acids and proteins
- gross-disruption ± coagulation
- lysis



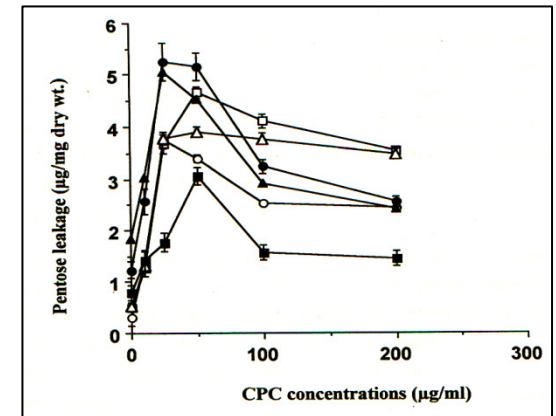
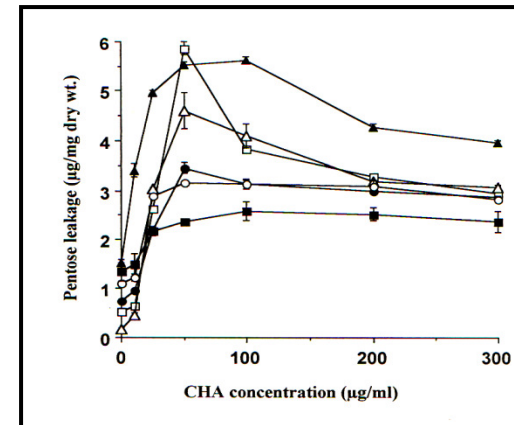
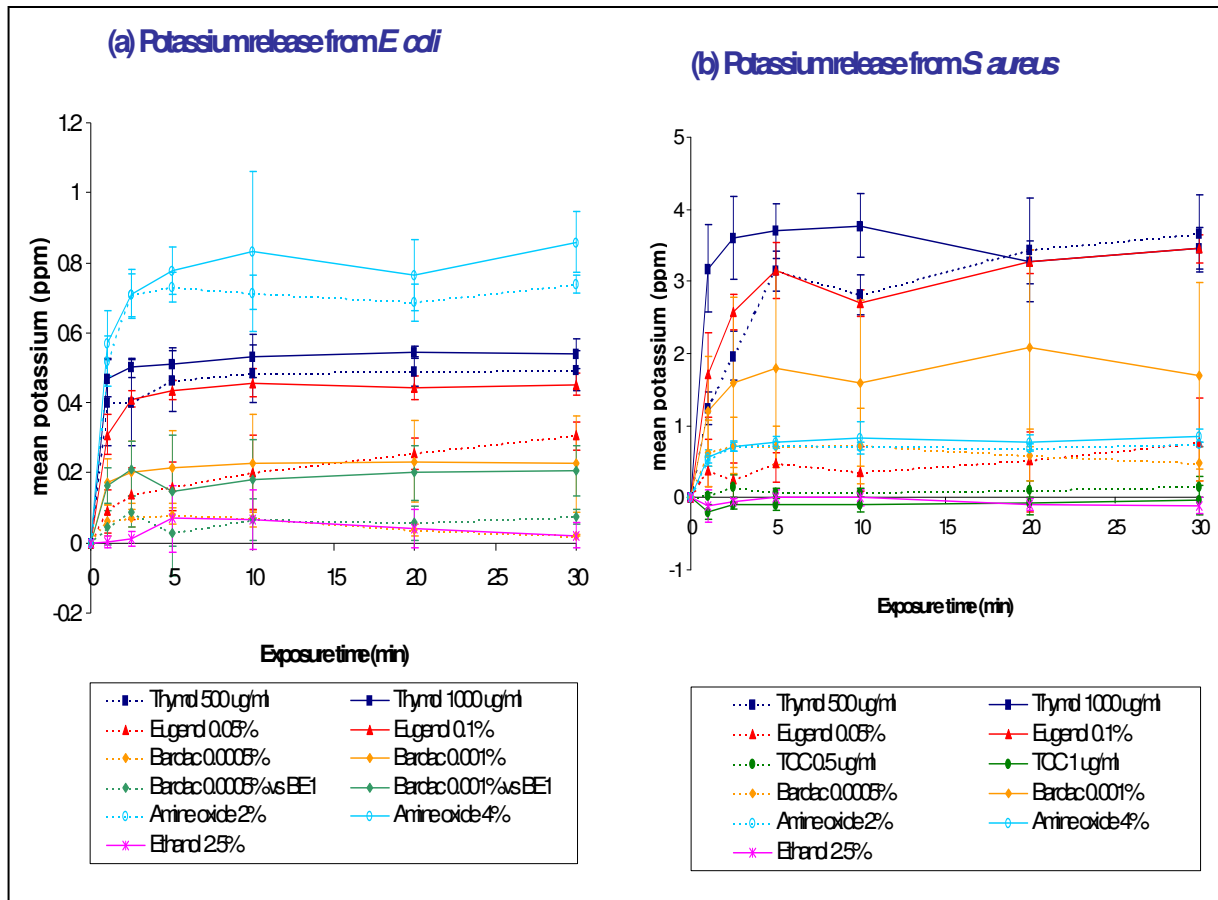
# MECHANISMS OF ACTION – cytoplasmic membrane

## Potassium leakage Walsh et al. JAM 2001 91: 80-92

## Pentose leakage

*Pseudomonas stutzeri* strains and *Ps. aeruginosa* 8626

Tattawasart et al. JAM 1999 87:323-331

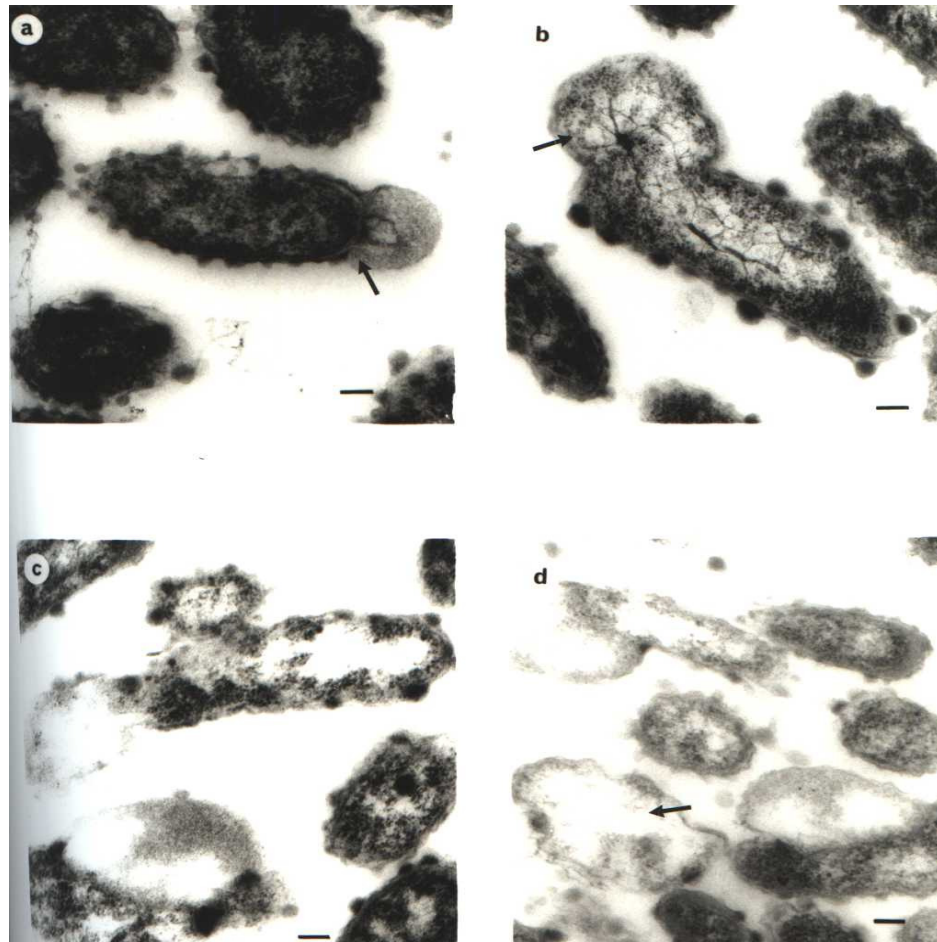


# MECHANISMS OF ACTION – cytoplasmic membrane

## Physical disruption of the membrane

Effect of chlorhexidine against *Pseudomonas stutzeri* JM302

- a) 5 min: blebbing
- b) 15 min: cytoplasmic swelling
- c) 30 min: cell lysis
- d) 60 min: ghost cells

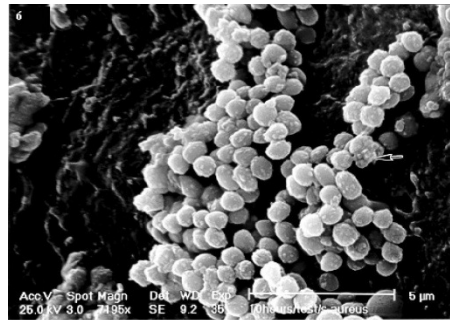


# MECHANISMS OF ACTION – cytoplasmic membrane

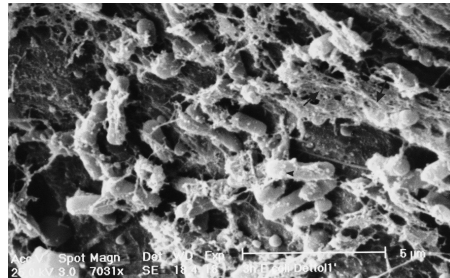
## Blebbing and leakage

PCMX  
Dettol formulation

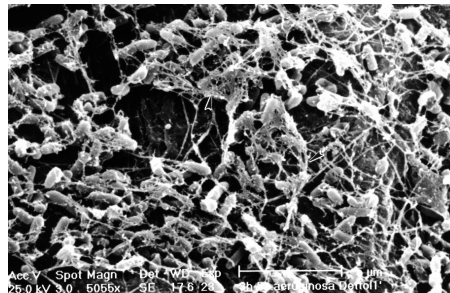
*Staphylococcus aureus*



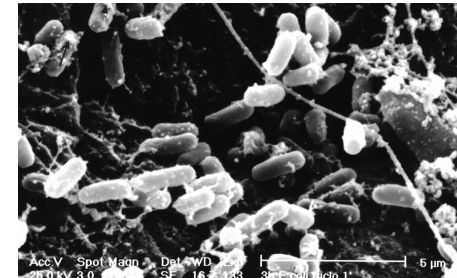
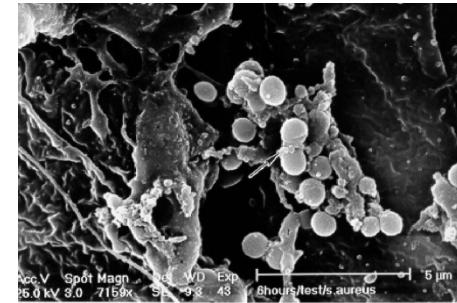
*Escherichia coli*



*Pseudomonas aeruginosa*



Triclosan in isopropanol



Messenger et al. J Pharm Pharmacol 2000 52S: 124

# MECHANISMS OF ACTION – cytoplasmic membrane

## Inactivation of membrane-associated enzyme activity

- hexachlorophane: electron transport chain
- ethanol: inhibition of DNA, RNA, protein and peptidoglycan synthesis
- chlorhexidine: inhibition of membrane-bound adenosine triphosphatase
- CTAB /  $\beta$ -galactosides carrier-mediated process
- triclosan: enoyl-acyl carrier protein reductase

AT A LOW CONCENTRATION

## Dissipation of proton motive force

- organic acids and parabens
- acetic acid (other effect, lower  $pH_i$ ; denature proteins)
- phenolics (fentichlor and triclosan): inhibition of ATP synthesis
- cationics

AT A LOW CONCENTRATION

discharge of membrane potential (CTAB)

inhibition of ATP synthesis (QAC)

inhibition of respiratory enzyme (PHMB)

# MECHANISMS OF ACTION – cytoplasmic membrane

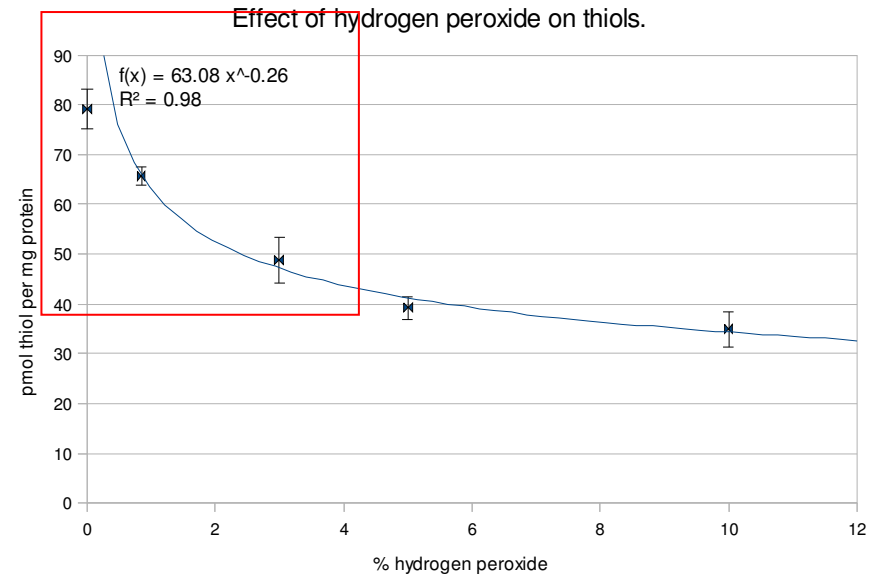
## Inactivation of membrane-associated enzyme activity

- interaction with thiol group
  - metallic salts (copper, silver)
  - isothiazolones (BIT, CMIT)
  - oxidizing agents (highly reactive)

### THIOL OXIDATION – H<sub>2</sub>O<sub>2</sub>

Total Thiol oxidation following H<sub>2</sub>O<sub>2</sub> intervention

Protein thiols measured by reaction with DTNB (5,5'-dithiobis(2-nitrobenzoic acid) according to the method of Ellman.



**Liquid treatment produces decrease in protein thiol**

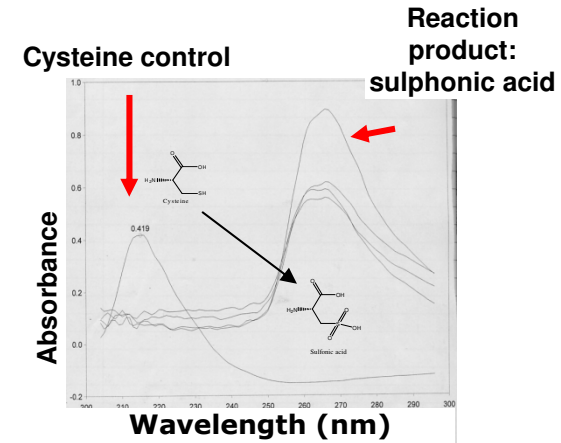
# MECHANISMS OF ACTION – cytoplasmic membrane

## Inactivation of membrane-associated enzyme activity

- interaction with thiol group
  - metallic salts (copper, silver)
  - isothiazolones (BIT, CMIT)
  - oxidizing agents (highly reactive)
- interaction with amino group
  - alkylating agents (highly reactive)
  - oxidising agents (highly reactive)
- interaction with hydroxy group
  - oxidising agents (highly reactive)

# MECHANISMS OF ACTION – cytoplasmic membrane

Amino acid/ Oxidation product	Oxidising agent (ratio amino acid/biocide)						
	H <sub>2</sub> O <sub>2</sub>	vH <sub>2</sub> O <sub>2</sub>	ClO <sub>2</sub>	PAA	HAMO	SK	S20
<b>Cysteine</b>							
Sulphenic acid	1:2	_b	_a	2:1	100:1	_a	
Sulphinic acid	1:3	_b	_a	_a	1:1	_a	1:3
Sulphonic acid	_a	_b	_a	1:2	1:2	_a	_a
<b>Methionine</b>							
Sulphoxide	1:3	_b	_a	2:1	10:1	_a	
Sulphonic acid	_a	_b	_a	1:2	1:1	_a	1:3
<b>Lysine</b>							
N-(Hexanoyl)lysine	_a	_b	_a	2:1	10:1	_a	1:3
2' oxo-lysine	1:1	_b	_a	10:1	_a	_a	
Aminoadipic semialdehyde	1:3	_b	_a	_a	_a	_a	
<b>Histidine</b>							
2' oxo-histidine	1:3	_b	_a	2:1	10:1	_a	
Di-histidine	_a	_b	_a	1:2	1:2	_a	1:2
<b>Glycine</b>							
Aminomalonate	1:3	_b	_a	100:1	1:1	_a	
<b>Tryptophan</b>							
N-formyl kynurenine	_a	_b	_a	1:2	1:5	_a	_a



**HAMO:** PAA formulation  
**S20:** STERIS 20: PAA formulation  
**SK:** SPOR-KLENZ™; hydrogen peroxide and peracetic acid

a: no oxidation observed at a 1:5 ratio

b: no oxidation observed at a ratio 100 mM amino acid and 2 g/L vH<sub>2</sub>O<sub>2</sub>

# MECHANISMS OF ACTION – cytoplasmic membrane

## Inactivation of membrane-associated enzyme activity

- interaction with thiol group
  - metallic salts (copper, silver)
  - isothiazolones (BIT, CMIT)
  - oxidizing agents (highly reactive)
  
- interaction with amino group
  - alkylating agents (highly reactive)
  - oxidising agents (highly reactive)
  
- interaction with hydroxy group
  - oxidising agents (highly reactive)

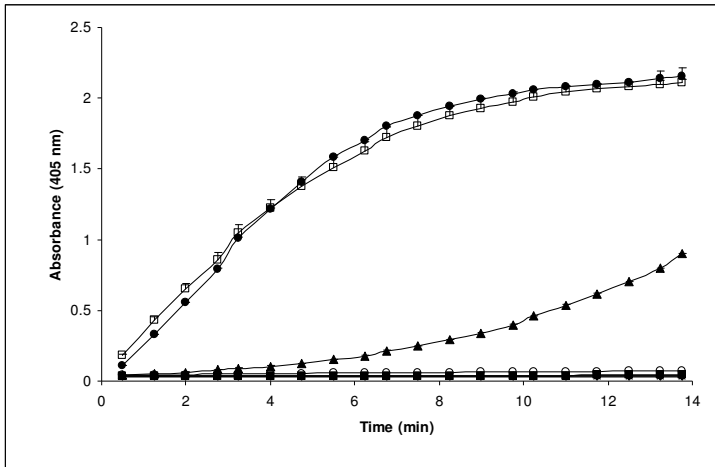
BASED ON THEORY  
RATHER THAN HARD  
EVIDENCE OF ENZYME  
DISRUPTION

# MECHANISMS OF ACTION – cytoplasmic membrane

## INTERACTIONS WITH ENZYMES - alkaline phosphatase

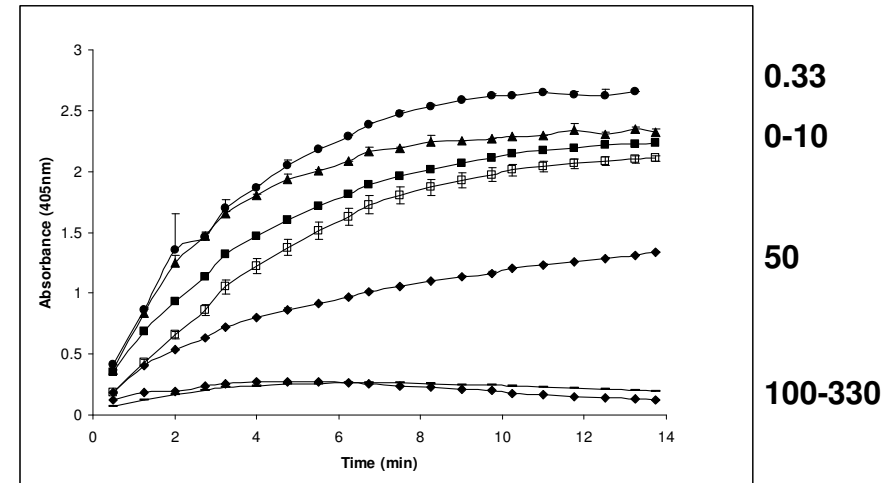
Finnegan et al. J Antimicrob Chemother 2010; 65(10): 2108-15

$\text{ClO}_2$



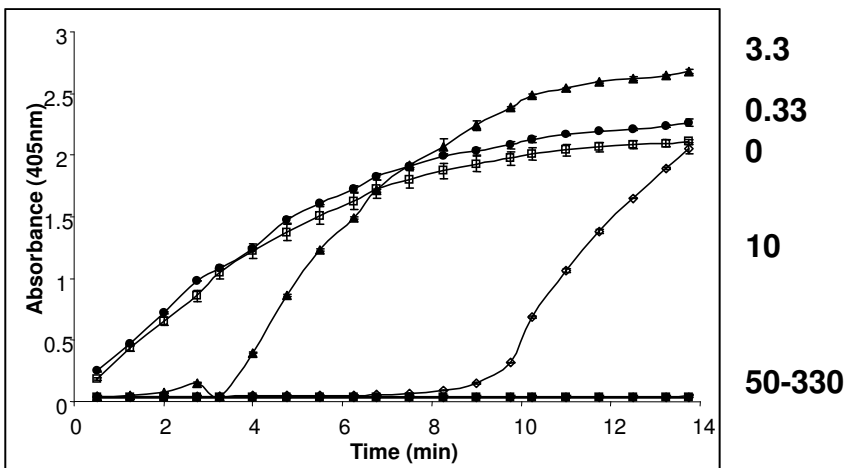
(□) control, (●) 0.33, (▲) 3.3, (○) 10, (.) 20, (◇) 33, (■) 50, and (◆) 330 mM.

$\text{H}_2\text{O}_2$



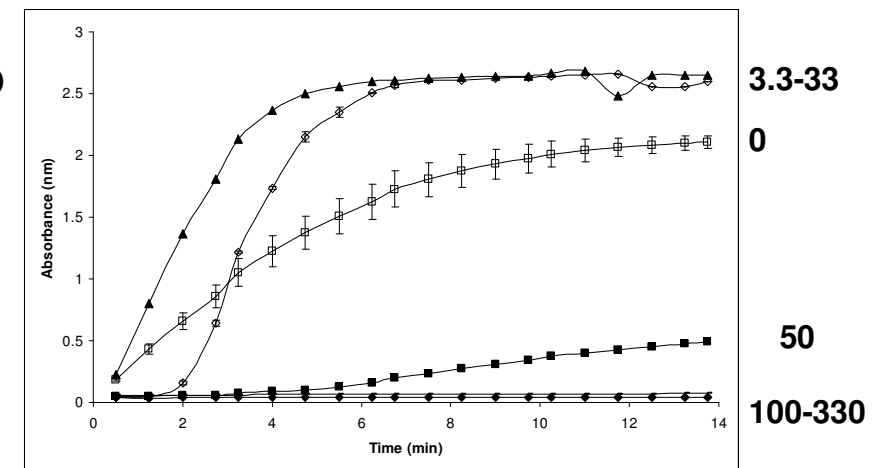
(□) control, (●) 0.33, (▲) 3.3, (○) 10, (■) 50, (-) 100 and (◆) 330 mM.

PAA



(□) control, (●) 0.33, (▲) 3.3, (○) 10, (◇) 33, (■) 50, (-) 100 and (◆) 330 mM

HAMO



(□) control, (▲) 3.3, (◇) 33, (■) 50, (-) 100 and (◆) 330 mM

Hamburg 2011

# MECHANISMS OF ACTION – cytoplasm

## Coagulation

- cationics at high concentrations

## Ribosomes

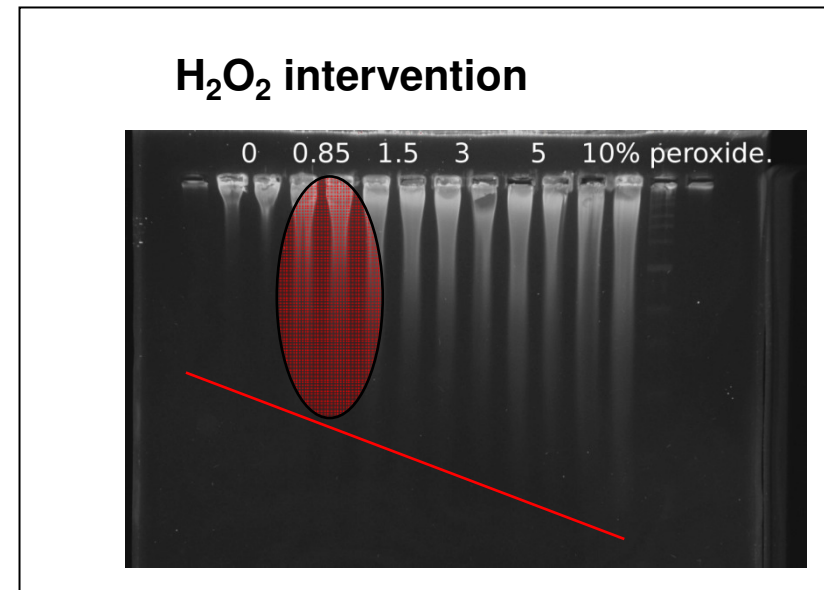
- hydrogen peroxide
- *p*-chloromercuribenzoate

## Inhibition of other enzymatic systems: protein synthesis and DNA polymerase

- cationics

## Nucleic acid (inhibition of DNA, RNA)

- antibacterial dyes
  - tryphenylmethane group (crystal violet)
  - acridines: intercalation between base pairs
- alkylating agents (?)
- oxidising agents



## Biocide interaction with the microbial cell and antimicrobial surfaces

### EFFICACY

- Bioavailability
- Sustainable contact with the microbial cell
- Concentration is key for activity
- Number of factors that will decrease efficacy
  - state of the micro-organisms
  - relative humidity
  - soiling (conditioning film)

### KNOWLEDGE

- Better understanding of biocide effect at a low concentration
- Emerging microbial resistance?

### TESTING FOR ACTIVITY

- Efficacy test protocols
- Matching product and application

# THANK YOU

- ➔ **Unchalee Tattawasart**
- ➔ **Michelle O'Jeil**
- ➔ **Susie Walsh**
- ➔ **Michelle Finnegan**
- ➔ **Ezra Linley**
- ➔ **Syndie Messenger**



Llywodraeth Cynulliad Cymru  
Welsh Assembly Government

